

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 10/570,482 Confirmation No.: 2786  
Applicant(s): Hajime Kurita, et al. Group Art Unit: 3746  
Examiner: TBA  
Filed: October 17, 2006  
Customer No.: 27123  
For: VARIABLE DISPLACEMENT SWASH PLATE TYPE COMPRESSOR

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Sir:

A corrected filing receipt is hereby requested in view of the errors which appear in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been highlighted. Specifically,

1. In the Applicants section, the fourth applicant's name is wrong. Please change "Furanuma" to -- Fukanuma --.
2. In the Title section, please change "Variable displacement type compressor" to -- Variable Displacement Swash Plate Type Compressor --

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5303. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: March 27, 2007

By: Steven F. Meyer  
Steven F. Meyer  
Registration No. 35,613

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281-2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/570,482	10/17/2006	3746	1030	5000-5303	8	7	2

27123  
MORGAN & FINNEGAN, L.L.P.  
3 WORLD FINANCIAL CENTER  
NEW YORK, NY 10281-2101

CONFIRMATION NO. 2786

## FILING RECEIPT



\*OC000000021804874\*

Date Mailed: 01/03/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria, Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

**Fukanuma**  
Hajime Kurita, Kariya-shi, JAPAN;  
Takayuki Imai, Kariya-shi, JAPAN;  
Masakazu Muras, Kariya-shi, JAPAN;  
Tetsuhiko Furanuma, Kariya-shi, JAPAN;  
Masaki Ota, Kariya-shi, JAPAN;  
Fuminobu Enokijima, Kariya-shi, JAPAN;

CASE 5000-5303 ATTY SEM  
INFORMATION DISCLOSURE  
STATEMENT 1-17-07  
FOREIGN FILING \_\_\_\_\_  
CONVENTION DATE EXPIRES \_\_\_\_\_

Power of Attorney: The patent practitioners associated with Customer Number **27123**.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/11373 08/06/2004

## Foreign Applications

JAPAN 2003-310291 09/02/2003  
JAPAN 2003-326962 09/18/2003

If Required, Foreign Filing License Granted: 12/29/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/570,482**

Projected Publication Date: 04/12/2007

Non-Publication Request: No

M F 03/21/07 AM 10:58

Early Publication Request: No


**Variable Displacement Swash Plate Type Compressor**

Title

Variable displacement type compressor

Preliminary Class

417

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovator's guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The